| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | IL6013601 | A. BUILDING: _____ B. WING _____ | 03/14/2025 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| HARBOR HOUSE | 760 OLD MCHENRY ROAD WHEELING, IL 60090 |

| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
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| S 000 | Initial Comments<br><br>Annual Licensure Survey<br><br>Complaint Investigation: 2592106/IL187878-No Deficiency | S 000 | | |
| S9999 | Final Observations<br><br>Statement of Licensure Violations:<br><br>1 of 2<br><br>330.760 c)<br><br>Section 330.760 Personnel Files<br><br>c) Prior to employing any individual in a position that requires a State license, the facility shall contact the Illinois Department of Financial and Professional Regulation to verify that the individual's license is active. A copy of the license shall be placed in the individual's personnel file.<br><br>This requirement was NOT MET as evidenced by:<br><br>Based on interview and record review, the facility failed to verify that the nurse's license is active and obtain a copy of an unexpired nurse license for one of three nurses reviewed for personnel files. This failure has the potential to affect all 22 residents currently residing in the facility.<br><br>Findings include:<br><br>During review of personnel files for V8 (Licensed Practical Nurse), no license verification from Illinois Department of Financial and Professional Regulation was noted and the Licensed Practical | S9999 | | |

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| S9999 | Continued From page 1<br><br>Nurse license on file expired on 01/31/2017. V1 (Administrator) stated that V8's hiring date is 03/06/2025.<br><br>On 03/12/2025 at 12:40PM during phone interview with V12 (Senior Director of Human Resource), V12 stated that they do not ask for a copy of the nurses' licenses because they verify it through Nursys (a national nurse licensure and disciplinary database). V12 also stated that she is unaware of verification through Illinois Department of Financial and Professional Regulation.<br><br>On 03/14/2025 at 9:06AM during interview with V1 (Administrator), V1 stated that if the prospective employee is a licensed professional, their licenses should be verified on Illinois Department of Financial and Professional Regulation website to check if it's active, print it out, and put it on their personnel files. V1 also stated that a copy of the unexpired license should also be obtained for records.<br><br>(C)<br><br>2 of 2<br><br>330.911<br><br>Section 330.911 Health Care Worker Background Check<br><br>A facility shall comply with the Health Care Worker Background Check Act [225 ILCS 46] and the Health Care Worker Background Check Code (77 Ill. Adm. Code 955).<br><br>This requirement was NOT MET as evidenced by: | S9999 | | |

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| S9999 | Continued From page 2 | S9999 | | |

Based on interview and record review, the facility failed to comply with the Health Care Worker Background Check Act by not ensuring that background checks were completed prior to staff working in the facility. This failure has the potential to affect all 22 residents currently residing in the facility.

Findings include:

Personnel files of V9 (Caregiver), V4 (Caregiver) and V11 (Culinary Director) provided by V12 (Senior Director of Human Resource) were reviewed. V1 stated that V9 was hired on 10/21/2024, V4 was hired on 12/18/2024 and V11 was hired on 11/15/2024.

V9's Illinois Department of Public Health Care Worker Registry, Office of the Inspector General search, and Illinois State Police Sex Offender Registry were run on 03/11/2025. Facility unable to provide proof of search for Illinois Department of Corrections Sex Offender, National Sex Offender, Illinois Department of Corrections Inmate Search, and Illinois Department of Corrections Wanted Fugitives for V9.

V4's Illinois Department of Public Health Care Worker Registry was run on 03/12/2025. V1 stated that V4's Illinois Department of Corrections Sex Offender, National Sex Offender, Illinois Department of Corrections Inmate Search, and Illinois Department of Corrections Wanted Fugitives searches were completed on 03/12/2025.

Facility unable to provide proof of search for Illinois Department of Corrections Sex Offender, National Sex Offender, Illinois Department of

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| S9999 | Continued From page 3<br><br>Corrections Inmate Search, and Illinois Department of Corrections Wanted Fugitives for V11.<br><br>On 03/14/2025 at 9:06AM during interview with V1 (Administrator), V1 stated that prior to hire, prospective employees healthcare background check application should be initiated through the IDPH portal, then search their names through the Office of the Inspector General website, Illinois Department of Corrections Sex Offender Registry, National Sex Offender Registry, Illinois Department of Corrections Inmate Search, Illinois Department of Corrections Wanted Fugitives, and Illinois State Police Sex Offender Registry. V1 also stated that if the prospective employee is a licensed professional, their licenses should be verified on Illinois Department of Financial and Professional Regulation website to check if it's active, print it out, and put it on their personnel files. V1 also stated that a copy of the unexpired license should also be obtained for records.<br><br>Review of undated facility policy entitled Staffing/Personnel Standards Policy indicated the following:<br>Background Screening<br>All staff are screened before beginning work and meet the screening standards established by the IDPH (Illinois Department of Public Health).<br><br>Review of facility document entitled IDPH Frequently Asked Questions: Employer Health Care Worker Registry revised September 2018 indicated the following:<br>Employers are required to conduct internet searches on employees on the following web sites:<br>- Health and Human Services Office of the | S9999 | | |

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| S9999 | Continued From page 4<br><br>Inspector General<br>- Illinois Sex Offender Registration<br>- Illinois Department of Corrections Sex Registrant<br>- Illinois Department of Corrections Inmate Search<br>- Illinois Department of Corrections Wanted Fugitives<br>- National Sex Offender Public Registry<br><br>(C) | S9999 | | |