

DEPARTMENT OF PUBLIC HEALTH
STATE OF ILLINOIS

THE DEPARTMENT OF PUBLIC HEALTH)	Docket No. NH 25-S0077
STATE OF ILLINOIS,)	
Complainant,)	
)	
v.)	
)	
THE RIVERSIDE FOUNDATION,)	
D/B/A, RIVERSIDE FOUNDATION,)	
Respondent.)	

NOTICE OF TYPE "C" VIOLATION(S); NOTICE OF FINE ASSESSMENT; NOTICE OF
PLACEMENT ON QUARTERLY LIST OF VIOLATORS;
NOTICE OF OPPORTUNITY FOR HEARING

Pursuant to the authority granted by the ID/DD Community Care Act (210 ILCS 47/1-101 et seq.)
(hereinafter, the "Act"), NOTICE IS HEREBY GIVEN:

NOTICE OF TYPE "C" VIOLATION(S)

It is the determination of the Illinois Department of Public Health, State of Illinois, hereinafter, the "Department") that there has been a failure by Respondent to comply with the Act. This determination is subsequent to a Licensure Investigation conducted by the Department on 01/09/25, at Riverside Foundation, 14588 West Highway 22, Lincolnshire, Illinois 60069. On 03/19/25, the Department determined that such violations constitute one or more Type "C" violations of the Act and the Intermediate Care for the Developmentally Disabled Code, 77 Ill. Adm. Code 350 (hereinafter, the "Code"). The nature of each such violation and sections of the Code that were violated are further described in the Statement of Licensure Violations which is attached hereto and incorporated herein as Attachment A and made a part hereof.

A Type "C" violation may affect your eligibility to receive or maintain a two-year license, as prescribed in Sec. 3-110 of the Act.

NOTICE OF FINE ASSESSMENT

Pursuant to Section 3-305 of the Act the Department hereby assesses against Respondent a monetary penalty of **\$400.00**, as follows:

Type C violation of an occurrence for violating one or more of the following sections of the Code: 350.670d)1)2)3)4), 350.680a), and 350.681). The fine was doubled in this instance in accordance with 350.282i) and j) of the Code due to the violation of the sections of the Code with a high-risk designation: 350.625e) and 350.681).

Fine = \$400.

Section 3-310 of the Act provides that all penalties shall be paid to the Department within ten (10) days of receipt of notice of assessment by mailing a check (note Docket # on the check) made payable to the Illinois Department of Public Health to the following address:

Attn: Colleen Walraven
Illinois Department of Public Health
525 West Jefferson, 5th Floor, CA
Springfield, Illinois 62761

If the penalty is contested under Section 3-309, the penalty shall be paid within ten (10) days of receipt of the final decision, unless the decision is appealed and stayed by court order under Section 3-713 of the Act.

A penalty assessed under this Act shall be collected by the Department. If the person or facility against whom a penalty has been assessed does not comply with a written demand for payment within thirty (30) days, the Director shall issue an order to do any of the following:

- (A) Direct the State Treasurer to deduct the amounts otherwise due from the State for the penalty and remit that amount to the Department;
- (B) Add the amount of the penalty to the facility's licensing fee; if the licensee refuses to make the payment at the time of application for renewal of its license, the license shall not be renewed; or
- (C) Bring an action in circuit court to recover the amount of the penalty.

NOTICE OF PLACEMENT ON QUARTERLY LIST OF VIOLATORS

In accordance with Section 3-304 of the Act, the Department shall place the Facility on the Quarterly List of Violators.

NOTICE OF OPPORTUNITY FOR A HEARING

Pursuant to Sections 3-301, 3-303(e), 3-309, 3-313, 3-315, and 3-703 of the Act, the licensee shall have a right to a hearing to contest this Notice of Type “C” Violation(s) and Notice of Fine Assessment. In order to obtain a hearing, the licensee must send a written request for hearing no later than ten (10) days after receipt by the licensee of these Notices.

FAILURE TO REQUEST A HEARING WITHIN TEN DAYS OF RECEIPT OF THIS NOTICE WILL CONSTITUTE A WAIVER OF THE RIGHT TO SUCH HEARING.

FINE REDUCTION IF HEARING WAIVED

Pursuant to Sections 3-309 and 3-310 of the Act, a licensee may waive its right to a hearing in exchange for a 35% reduction in the fine. In order to obtain the 35% reduction in the fine, the licensee must send a written waiver of its right to a hearing along with payment totaling 65% of the original fine amount within 10 business days after receipt of the notice of violation.

Plan of Correction, Hearing and Waiver Requests can be emailed to the following email address: DPH.LTCQA.POChearing@illinois.gov. If your facility does not have email capabilities then mail it to the attention of: Colleen Walraven, Illinois Department of Public Health, Long Term Care – Compliance Assurance, 525 West Jefferson, Springfield, IL 62761.



Sheila A. Driver, JD, MBA, RN
Deputy Director, Office of Health Care Regulation
Illinois Department of Public Health

Dated this 20 day of March, 2025.

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STATE OF ILLINOIS

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Respondent.)	
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PROOF OF SERVICE

The undersigned certifies that a true and correct copy of the attached Notice of Type “B” Violation(s); Notice of Fine Assessment; Notice of Placement on Quarterly List of Violators; and Notice of Opportunity for Hearing were sent by certified mail in a sealed envelope, postage prepaid to:

Registered Agent:	Mark Ingrum
Licensee Info:	The Riverside Foundation
Address:	14588 W Hwy 22
	Lincolnshire, Illinois 60069

That said documents were deposited in the United States Post Office at Springfield, Illinois, on the
20 day of March, 2025.

Jeff Orebaugh
Jeff Orebaugh
Administrative Assistant I-TA
Long Term Care – Compliance Assurance
Office of Health Care Regulations

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6008023	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 01/09/2025
NAME OF PROVIDER OR SUPPLIER RIVERSIDE FOUNDATION		STREET ADDRESS, CITY, STATE, ZIP CODE 14588 WEST HIGHWAY 22 LINCOLNSHIRE, IL 60069		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
Z 000	COMMENTS ANNUAL LICENSURE SURVEY	Z 000		
Z9999	FINDINGS Statement of Licensure Violations: 350.670 d)1) 350.670 d)2) 350.670 d)3) 350.670 d)4) 350.680 a) 350.681 Section 350.670 Personnel Policies d) The facility shall check the status of all applicants with the Health Care Worker Registry prior to hiring. Information on the Health Care Worker Registry will include: 1) Whether the individual is active on the Registry; 2) Whether the individual has findings of abuse, neglect, or misappropriation of property; 3) The date of the individual 's most recent criminal history records check; 4) Whether the individual has a conviction for a disqualifying offense pursuant to Section 25 of the Health Care Worker Background Check Act; and 5) Whether the individual has a waiver. Section 350.680 Direct Support Persons (DSP) a) A facility shall not employ an individual as a nursing assistant, habilitation aide, home health aide, a developmental disabilities aide, or a direct support person, or newly hired as an individual who may have access to a resident, a resident's living quarters, or a resident's personal, financial, or medical records, unless the facility has	Z9999		

Illinois Department of Public Health

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Illinois Department of Public Health

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Z9999	<p>Continued From page 1</p> <p>checked the Department's Health Care Worker Registry and the individual is listed on the Health Care Worker Registry as eligible to work for a health care employer. The facility shall not employ an individual as a nursing assistant, habilitation aide, a developmental disabilities aide, or a direct support person, if that individual is not on the registry unless the individual is enrolled in a training program under Section 3-206 (a)(5) of the Act and Section 350.683. (Section 3-206.01 of the Act)</p> <p>Section 350.681 Health Care Worker Background Check</p> <p>A facility shall comply with the Health Care Worker Background Check Act and the Health Care Worker Background Check Code.</p> <p>These Regulations were not met as evidenced by:</p> <p>Based on interview and record review, the facility failed to initiate required Internet website background checks and verification of Health Care Worker Registry status prior to employment to ensure individuals who have history of abuse, neglect, or mistreatment are not hired, having the potential to impact all 77 residents living in the facility.</p> <p>Findings include:</p> <p>Review of Facility Health Care Worker Background Checks (HCWBC) identified two staff with missing Health Care Worker Background Checks (HCWBC) completed before they started employment at the facility. Facility employment electronic record states E6, Certified Nurse Assistant (CNA) was hired on 10/2/21. Facility</p>	Z9999		

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Z9999	<p>Continued From page 2</p> <p>HCWBC record states E6's HCWBC registry was checked on 10/7/21 (5 days after hire date). Facility employment electronic record states E7 (CNA) was hired on 6/15/22. Facility HCWBC record states E7's HCWBC registry was checked on 6/17/22 (2 days after hire date).</p> <p>Facility employment record states E8 (CNA) was hired on 6/2/17. Facility HCWBC record for E8 (CNA) identified that Internet website background checks was not conducted for E8.</p> <p>On 1/7/25, at 10:20 a.m., E12 (Training Director) confirmed the above documentation, that the HCWBC registry was not checked prior to their hire date for E6 and E7. E12 stated the facility does not have documentation that E8's Internet website background checks were checked for possible history of abuse, neglect, or mistreatment.</p> <p>(C)</p>	Z9999		