Illinois Department of Public Health STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: (X2) MULTIPLE CONSTRUCTION A. BUILDING: __

(X3) DATE SURVEY COMPLETED

IL6008783

C 11/16/2022

NAME OF PROVIDER OR SUPPLIER

STREET ADDRESS, CITY, STATE, ZIP CODE

1300 NORTH GREENWOOD STREET

B. WING

APERION CARE SPRING VALLEY 1300 NORTH GREENWOOD STREET SPRING VALLEY, IL 61362					
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE	
S 000	Initial Comments	S 000	, a	343	
rs E	Complaint Investigation 2229085/IL153313	\$ # # # # # # # # # # # # # # # # # # #			
S9999	Final Observations	S9999		事	
	Statement of Licensure Violations:		98 V.		
\$11. 2	300.610a) 300.1210b) 300.1210c) 300.1210d)2)6)		e e e w	# B	
	Section 300.610 Resident Care Policies a) The facility shall have written policies and procedures governing all services provided by the facility. The written policies and procedures shall be formulated by a Resident Care Reliev	(2) d		12000	
	be formulated by a Resident Care Policy Committee consisting of at least the administrator, the advisory physician or the medical advisory committee, and representatives of nursing and other services in the facility. The policies shall comply with the Act and this Part. The written policies shall be followed in operating the facility.				
	Section 300.1210 General Requirements for Nursing and Personal Care				
	b) The facility shall provide the necessary care and services to attain or maintain the highest practicable physical, mental, and psychological well-being of the resident, in accordance with each resident's comprehensive resident care plan. Adequate and properly supervised nursing care and personal care shall be provided to each		Attachment A Statement of Licensure Violations	£	

Illinois Department of Public Health

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

PRINTED: 12/14/2022 FORM APPROVED

Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: A. BUILDING: COMPLETED C IL6008783 **B. WING** 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY** SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES (X4) ID PROVIDER'S PLAN OF CORRECTION (EACH DEFICIENCY MUST BE PRECEDED BY FULL PRÉFIX (X5) COMPLETE (EACH CORRECTIVE ACTION SHOULD BE PREFIX REGULATORY OR LSC IDENTIFYING INFORMATION) TAG CROSS-REFERENCED TO THE APPROPRIATE TAG DATE DEFICIENCY) Continued From page 1 S9999 S9999 care needs of the resident. c) Each direct care-giving staff shall review and be knowledgeable about his or her residents' respective resident care plan. d) Pursuant to subsection (a), general nursing care shall include, at a minimum, the following and shall be practiced on a 24-hour, seven-day-a-week basis: 2) All treatments and procedures shall be administered as ordered by the physician. 6) All necessary precautions shall be taken to assure that the residents' environment remains as free of accident hazards as possible. All nursing personnel shall evaluate residents to see that each resident receives adequate supervision and assistance to prevent accidents. These requirements were not met as evidenced Based on interview and record review, the facility failed to directly supervise a resident (R1) who required supervision and assistance while eating. This failure resulted in R1, who has a history of dysphagia, is on a mechanically altered diet, is impulsive with eating and needs verbal cuing to eat slowly and take small bites, being served a lunch meal tray within R1's reach without staff members directly present. R1 subsequently choked on food items from R1's lunch tray. R1 required the Heimlich Maneuver. Cardiopulmonary Resuscitation efforts and transfer to the local area hospital where R1

Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION AND PLAN OF CORRECTION (X3) DATE SURVEY IDENTIFICATION NUMBER: A. BUILDING: COMPLETED IL6008783 B. WING 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY** SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES (X4) ID PROVIDER'S PLAN OF CORRECTION (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (X5) PREFIX (EACH CORRECTIVE ACTION SHOULD BE REGULATORY OR LSC IDENTIFYING INFORMATION) TAG COMPLETE CROSS-REFERENCED TO THE APPROPRIATE TAG DATE DEFICIENCY) S9999 Continued From page 2 S9999 expired. Findings include: The facility's "Dining Experience: Staff Roles" policy, 2020, states, "Staff members will strive to enhance the resident's quality of life while serving meals that meet nutritional needs, offers choice. is served with dignity and considers the person-centered care plan. Staff will offer personal attention to each resident and monitor the resident's satisfaction and food intake. Procedure: 4. Staff members serving in the dining room will offer personal attention to each resident, giving consideration to the resident's plan of care, their preferences, intolerances and allergies." The facility's "Feeding and Assisting Residents to Eat" policy, undated, documents nursing personnel assisting should be positioned/seated at eye level with the resident to provide a relaxed and comfortable environment and documents that chewing and swallowing should be encouraged. The facility's "Dental Soft/Mechanical Soft Diet" policy, dated 2017, states, "The Dental Soft/Mechanical Soft Diet is for individuals with limited or difficulty in chewing regular consistency foods. If a mechanical soft diet is ordered, the Dental Soft/Mechanical Soft Diet would be appropriate if there is a chewing/dentition problem. This diet may also be used by a Speech Language Pathologist/SLP in the treatment of dysphagia with individualization per recommendations by the SLP." "For individuals that have any swallowing problems or dysphagia, it is recommended that a SLP be consulted and one of the Dysphagia Level Diets may need to be implemented."

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STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6008783		(X2) MULTIPI A. BUILDING	LE CONSTRUCTION	(X3) DATE SURVEY COMPLETED		
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S9999	Continued From pa	ge 3	S9999	N.		
=*=	include but not limit Unspecified Demen Disorder; Unspecific Postural Kyphosis.	cuments R1 with diagnoses to ed to: Dysphagia; Epilepsy; ntia; Schizophrenia; Bipolar ed Intellectual Disability and The Facesheet documents R1	- T			
79 80 140	R1's Minimum Data 10/4/22, documents comprehend most of extensive assistance	nission date of 4/18/2016. Set Assessment, dated the following: R1 can conversation; requires the of one person physical and R1 is on a mechanically	10 10 10 10 10 10 11		,	53 14 ### 24 •
	Activity of Daily Living deficit and needs as care related to Post Coordination and Ware stated as "Eating extensive assist of documents R1 with impairments and impairments and impairments as a series of the coordinate of the care of	Plan documents R1 has an ng/ADL self-care performance sistance to complete ADL ural Kyphosis, Lack of leakness. Interventions/Tasks g: Requires hands on assist, one." This same Care Plan short and long term memory paired decision making.			3. 3.	
	1:30 PM status post "Recommend mech	er Sheet, dated 9/20/21 at t video swallow study, states, nanical soft solids, thin liquids.		g: .&i W.		
	patient (R1) at a tim be seated upright, 9 (R1) to take small b	ounce to be presented to the le, due to impulsivity. (R1) to 00 degrees during all meals. ites of food, small sips of ly. Recommend cough/throat iring meals as well."			э. «	W W
4.	R1's Dietary Initial/0 9/2/22, documents I assistance" for eatir	Quarterly Evaluation, dated R1 requires "extensive ng.	a"	ē		
7-	R1's Speech Thera	by Plan of Care notes on		£1		

Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: A. BUILDING: COMPLETED C IL6008783 B. WING 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET APERION CARE SPRING VALLEY SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX PREFIX (EACH CORRECTIVE ACTION SHOULD BE REGULATORY OR LSC IDENTIFYING INFORMATION) TAG COMPLETE TAG CROSS-REFERENCED TO THE APPROPRIATE DATE DEFICIENCY) S9999 Continued From page 4 S9999 8/26/21 documents R1 required speech therapy services for evaluation and treatment of swallowing dysfunction and documents R1 required prompting for safe intake patterns. R1's Speech Therapy Discharge Summary, dated 9/27/21, documents R1 at risk for aspiration of liquids and R1 was discharged from therapy services on a mechanical soft diet with nectar thick liquids and supervision during meals. This form states, "Pt (patient/R1) training on safe swallowing strategies. Constant supervision with verbal prompting for consistent use." R1's Physician Progress Note on 11/8/22 at 10:29 AM documents R1 was evaluated by V3 (R1's Physician). This same note states, "15. History of dysphagia: status post video swallow. (R1) is followed by speech therapy. We will continue to monitor their recommendations and monitor the patient clinically on his current diet." R1's current Physician Order Sheet/POS documents the following orders: "General diet, mechanical soft texture, nectar consistency": "Give no more than one ounce of fluid at a time d/t (due to) impulsivity. Take small bites of food and small sips of liquids for oral dysphagia" with a start date of 9/21/21; Have (R1) drink liquids after 2-3 (two to three) bites of food" with a start date of 11/4/21; "Please have resident stay upright for 2-3 hours after meals" with a start date of 11/4/21. R1's Meal Card documents R1 was on a mechanical soft diet with nectar thick liquids. The facility's "Diet Spreadsheet" week two, day 13-Friday documents the dental/mechanical soft menu as "Ground Beef Stew, Biscuit, Soft

Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X1) PROVIDER/SUPPLIER/CLIA	(X2) MULTIPI A. BUILDING	LE CONSTRUCTION		(X3) DATE SURVEY COMPLETED	
27	IL6008783		B. WING		11	C /16/2022	
NAME OF I	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY,	STATE, ZIP CODE			
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S9999	Continued From pa	age 5	S9999				
	Cooked Vegetable Beverage."	, Apple Streusel Cake and					
	R1's Nursing Note signed and dated b	on 11/11/22 at 12:10 PM,					
	Nursing/Registered (V2) was notified b Nursing Assistant) proceeded to do the while in his chair. The behind (R1) to do the instructed for 911 to place (R1) on the flace (R1) on the crash cart. Successions and (Emergency Depart was a DNR (Do No instructed for EMS continue to code (R1) ambulance (to local	I Nurse/RN), states, "This RN y RN and CNA (Certified that (R1) was choking. (V2) e Heimlich Maneuver on (R1) his RN (V2) could not get the proper maneuver. (V2) to be called. (V2) proceeded to foor on his left side. This RN (V2) weep and noticed some food g (R1's) airway. This RN (V2) her RN to get the suction off tion hooked up and was EMS (Emergency Medical residents care. EMS started called (local area hospital) ED the transport of the tran		A #		A ST	
na er E	by V2 on 11/11/22, V2 by another staff to have choked on documents Code B 12:10 PM, 911 was PM, and CPR was i PM. This same forn the Heimlich Maneu Paramedics are doc	vent" note, signed and dated documents R1 was brought to member after R1 was found R1's lunch. This form lue was called on 11/11/22 at called on 11/11/22 at 12:20 nitiated on 11/11/22 at 12:28 n documents suctioning, and over were performed on R1. cumented to have arrived at 22 and R1 was transported to					

the nearest emergency room. R1's condition at the time of transfer is documented as "unresponsive" and "cool." This same form Illinois Department of Public Health

PRINTED: 12/14/2022

FORM APPROVED Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: B. WING IL6008783 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY SPRING VALLEY, IL 61362** SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE PREFIX REGULATORY OR LSC IDENTIFYING INFORMATION) TAG TAG DATE DEFICIENCY) S9999 Continued From page 6 S9999 states, "Time of Death: 11/11/22 at 12:40 PM." R1's Emergency Medical Service/EMS Report documents on 11/11/22. EMS was dispatched to the facility for R1 who was "choking and not breathing." This same report states, "(R1) was found on the ground and not breathing but (R1) still had a pulse present. The known downtime was five minutes prior to our arrival. (R1) is visible cyanotic. There were CNAs and Nurses around the patient suctioning the patients airway trying to dislodge the food." "Medic15 had continued to suction (R1's) airway and was able to get more food out of (R1's) airway. At this time, Medic24 had checked for a pulse and there was none present." This report documents that CPR and lifesaving measures were continued the entire time en route to the local area hospital, R1 remained in Asystole with no pulse regained. "The Emergency Room doctor ceased all efforts at this time and presented (R1) as deceased." R1's Emergency Department note dated 11/11/22 at 12:34 PM, states, "Chief Complaint: Respiratory Arrest. Stated Complaint: Unresponsive. Initial Comments: (R1) brought in from the nursing home as a full arrest. (R1) was reportedly eating, then choked on food. Paramedics called and by the time they arrived. (R1) had suffered a cardiac and respiratory arrest. On scene, paramedics removed the visible food from (R1's) oropharynx and ultimately

Illinois Department of Public Health

intubated (R1), performed CPR and transported (R1) to the Emergency Department." This same note documents R1 with a medical history of Cognitive Impairment, Schizophrenia, Bipolar Disorder and that R1 is wheelchair bound. "Physical Exam: (R1) is asystolic with no blood pressure and no spontaneous respirations. Intubated. Unresponsive to verbal or painful

(X1) PROVIDER/SUPPLIER/CLIA

Illinois Department of Public Health
STATEMENT OF DEFICIENCIES (X1) PRO

	STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA AND PLAN OF CORRECTION IDENTIFICATION NUMBER:		(X2) MULTIP	LE CONSTRUCTION	(X3) DAT	(X3) DATE SURVEY	
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9 **	IL6008783					C 11/16/2022	
NAMEOF	PROVIDER OR SUPPLIER	STREET AL	DDRESS, CITY,	STATE, ZIP CODE			
APERIO	N CARE SPRING VALI		RTH GREEN VALLEY, IL	WOOD STREET 61362			
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S9999	Continued From page	ge 7	S9999			20 ==	
	the monitor. (R1) ha Resuscitate) order. identifiable reversible	npression: (R1) asystole on is a history of DNR (Do Not Since there is no readily e cause of patient's arrest.					
54	resuscitation efforts were discontinued and (R1) was pronounced dead at 12:40 PM."		13	%. 2:		5.0	
\$ \$	agency documents to R1 choked in the direction Helmlich and suction	Report to the local state that on 11/11/22 at 12:10 PM, ning room; 911 was called, ning were performed. EMS ted R1 to the local area	W Al	599# ES	X W _E		
3	Family Member) yell and yelled for a nurs towards the Nurse's next to (R1) but (my was feeding (R7 and looked at (R1) he was (Licensed Practical I (R1). I moved reside	/11/22, states, "(V4/R2's ed, 'He's choking.' I got up ee and started pushing (R1) Station. I was at the table back was towards (R1). I R8). After (V4) yelled when I as turning blue. I saw V5 Nurse/LPN and she took ints out of the way. I helped and and held his head while	æ				
	(V11/CNA) out of the was threatening to the (V12/CNA) was in wigo get one of the girl Then as I was walkin with some pills, (V7) turning blue. I grabbe towards the nurse's s(V2) then started givi	11/22, states, "I pulled dining room because (R4)	27 € 27 € 27 €				

STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X2) MULTIPLE CONSTRUCTION A. BUILDING:			(X3) DATE SURVEY COMPLETED		
80	IL6008783		B. WING			C 11/16/2022	
NAMEOF	PROVIDER OR SUPPLIER	STREET AD	DRESS, CITY,	STATE, ZIP CODE			
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S9999	Continued From p	age 8	S9999	4 4 3		200	
	states, "I was feed another table. I co- yelled (R1) was ch was turning purple moving him toward	in statement, dated 11/11/22, ing (R6) and (R1) was at uld see the back of (R1). (V4) oking. We stood up and (R1). (V7) grabbed (R1) and started its the nurse's desk. Then (V5) he took him. I stayed in the ne other residents."	=*			# # # # # # # # # # # # # # # # # # #	
#X	states, "I was stand heard (V7/CNA) ye turning blue. I calle for code status and	statement, dated 11/11/22, ding at the nurse's station. I lell at (V5/LPN). I saw him led 911. I pulled up (R1's) chart diprinted out his paperwork. I with suctioning before the	in.	W 24 24 24 24 24 24 24 24 24 24 24 24 24			
3° %	states, "I was sittin (V5) came to get m with (R4). I got up to (R1) wasn't eating just finished a bite biscuit on his plate (V9/CNA), (V13/CN dining room. When	n statement, dated 11/11/22, g in between (R1) and (R3). he because (V5) needed help to help (V12/CNA) with (R4). when I walked away. (R1) had of coleslaw. (R1) did have a but I hadn't given it to (R1). NA), and (V7/CNA) were in the I was walking back towards saw (V5/LPN) pushing (R1)	147) 5)	8. 25	
54 S-	towards the nurse's	s station."				v .	
×	11/11/22, documen	ager) written statement, dated ts R1 is a mechanical soft diet, uit cabbage and dessert were 11/11/22.		~	÷	*	
(9	states, "I was in the (R5). I had my back think he's choking."	statement, dated 11/11/22, e dining room. I was sitting with k towards (R1). (V4) yelled, 'I (V7/CNA) got up and started ds the nurse's desk and			050 N	h s	

STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION AND PLAN OF CORRECTION (X3) DATE SURVEY **IDENTIFICATION NUMBER:** A. BUILDING: COMPLETED C IL6008783 B. WING 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY** SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES (X4)ID PROVIDER'S PLAN OF CORRECTION (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (X5) PREFIX (EACH CORRECTIVE ACTION SHOULD BE REGULATORY OR LSC IDENTIFYING INFORMATION) TAG CROSS-REFERENCED TO THE APPROPRIATE **TAG** DATE DEFICIENCY) S9999 Continued From page 9 \$9999 (V5/LPN) took (R1). I stayed in the dining room with the other residents." V12's written statement, dated 11/11/22, states, "I was on C Hall in R4's room. (R4) was threatening to throw herself on the floor and trying to climb out of her bed. I asked (V5) to help me because i couldn't leave (R4). (V11) came to help me. (V11 and V12) got (R4) in her chair. I think it was a little after noon. As we were coming out of (R4's) room, we saw everyone in the hallway with (R1)." V4's (R2's Family Member) written statement, dated 11/11/22, states, "I was sitting with (R2) and (R1) picked up a roll. The girl (V11) at the table had left. I saw (R1) was choking. A girl (V7) behind him got up. It seemed like forever. (V7) immediately wheeled him back by the nurse's station. (R1) was turning blue." On 11/11/22 at 7:08 PM, V2 (Director of Nursing) stated, "On 11/11/22, in the main dining room, at lunch time, the CNA (V11) was called away from (R1) due to another resident (R4) threatening to put herself on the floor. (V11) left the table. (V4) was sitting with (R2) at the table. (R1) was at the table in (R1's) wheelchair and (V4) was next to (R1). (V4) told (V7) that (R1) was choking. (V7) took (R1) out of the dining room and wheeled (R1) to the nurse's station. I was at the nurse's station at that time. I tried to do the Heimlich Maneuver. I didn't have enough strength to do it right. We lowered R1 to the floor and I told (V6) to call 911. R1's body is curved (contracted) to the side and he has Kyphosis, so he can't lay flat very well. I was laying on the floor with him doing the Heimlich. I could see something in (R1's) mouth so I did a finger sweep. I got a fingertip worth of mushy biscuit. I got the (suction catheter) and started sucking. (R1's) lips were turning blue.

STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: IL6008783 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY** SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE PREFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH CORRECTIVE ACTION SHOULD BE TAG REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE TAG DATE DEFICIENCY) S9999 Continued From page 10 S9999 (R1) was not able to talk but he had a pulse. EMS came and started CPR. EMS pulled two large forceps full of mushy biscuit out of (R1's) mouth. They continued CPR and left with (R1). The coroner called me and said that (R1) passed away at 12:40 PM." V2 stated that R1 sat at the assisted dining table and that R1 needed "small bite cues" V2 stated, "(R1) likes to shove food in his mouth at times. (R1) needs reminded to take sips (of liquids) after bites and to slow down." V2 stated V11 should not have left R1 while R1 was eating. "If (V11) was called away, she should have told someone to come over and sit with (R1) or push (R1's) plate away from him. There is enough room on that table to move the plate away and it is not near another resident or within (R1's) reach." V2 stated two residents sit with one CNA for assisted dining. At this time, V2 verified that no staff members were sitting at R1's table monitoring R1 with R1's tray of food. On 11/12/22 at 9:48 AM, V4 (R2's Family Member) stated that on 11/11/22, V4 was eating lunch with R2 at the same assisted dining table as R1. V4 stated there was not any staff members present at the table at all. V4 stated, "I saw (R1) pick something up and put it in his mouth. (R1) started gagging. I yelled out 'He's choking.' (R1) was turning blue. I had to yell three times before any of the staff responded and then they immediately wheeled him to the nursing station and closed the doors. This should not have happened, no one was watching him (R1) eat." On 11/11/22 at 7:41 PM, V15 (LPN) stated that residents who require supervision/assistance with dining should never be left alone while eating. V15 stated, "They don't even start delivering trays until staff is present with the residents."

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STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION AND PLAN OF CORRECTION (X3) DATE SURVEY **IDENTIFICATION NUMBER:** A. BUILDING: COMPLETED C IL6008783 B. WING 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY** SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES (X4) ID PROVIDER'S PLAN OF CORRECTION (EACH DEFICIENCY MUST BE PRECEDED BY FULL PRÉFIX (X5) COMPLETE (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE PREFIX REGULATORY OR LSC IDENTIFYING INFORMATION) TAG TAG DATE DEFICIENCY) S9999 Continued From page 11 S9999 On 11/11/22 at 7:53 PM, V8 (CNA) stated that assisted dining table residents should never be left unattended while eating. On 11/11/22 at 7:55 PM, V10 (CNA) stated, (R1) sat at the assisted dining table because (R1) would eat too quickly and shove everything in too fast and shove too much food in. (R1) needs cues to slow down. (R1) should never be left alone with his meal trav." On 11/11/22 at 7:59 PM, V16 (Registered Nurse) stated that R1 sat at the assisted dining table and that R1 was a high risk for choking. V16 stated, "Oh, of course not. (R1) should not be left alone with (R1's) meal tray." On 11/11/22 at 8:05 PM, V17 (CNA) stated that R1 sat at the assisted dining table and that R1 was able to feed himself at times. V17 stated R1 would "eat too fast" and that staff sat with R1 to remind R1 to slow down and take small bites. V17 stated, "No one at that table (assisted dining table) should ever be left alone. They don't even deliver the trays until a staff member is present." On 11/11/22 at 8:21 PM, V11 stated, "I was sitting at the lunch table with (R1). (V5) came and told me that (V12) needed help. I left (R1) to help her because the other resident (R4) was trying to get out of bed. (R1) had his tray in front of him when I left him. When we walked out of (R4's) room, I saw (R1) in the area by the nurse's station. I was probably gone five to ten minutes. We dressed (R4), got the (mechanical lift) sling underneath her and got her up with the (mechanical lift)." V11 stated R1 sat at the assisted dining table because R1 would need physical assistance with certain food items. V11 stated R1 could hold toast but

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STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** A. BUILDING: COMPLETED IL6008783 B. WING 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET APERION CARE SPRING VALLEY SPRING VALLEY, IL 61362 **SUMMARY STATEMENT OF DEFICIENCIES** (X4)ID PROVIDER'S PLAN OF CORRECTION (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX PREFIX (EACH CORRECTIVE ACTION SHOULD BE REGULATORY OR LSC IDENTIFYING INFORMATION) COMPLETE TAG CROSS-REFERENCED TO THE APPROPRIATE TAG DATE **DEFICIENCY)** S9999 Continued From page 12 S9999 holding a spoon would be hard for R1. V11 stated R1 would need physical assistance with eating and R1 would also need cues to take drinks in between bites and that sometimes R1 would eat too fast. V11 stated, "I checked (R1's) plate after everything happened, his coleslaw and biscuit were gone." At this time, V11 verified the following: V11 did not push R1's tray out of R1's reach before leaving R1's table, did not say anything to R1 before leaving the table; and did not ask anyone else to watch R1 while V11 was gone. V11 stated, "I should not have left (R1) unattended. I left when the nurse (V5) came and got me. (V5) didn't stay with (R1) either, she was doing med pass. There were three staff members at the other feeding table, but they were feeding (residents) too. No one was directly laying eyes on (R1)." On 11/11/22 at 8:41 PM, V1 (Administrator) stated, "(On 11/11/22) I was in my office, I heard V6 (Licensed Practical Nurse) page maintenance (V18/Maintenance Director) to the nurses' desk immediately. I went back there to see what was going on. I saw (V2) trying to give (R1) the Heimlich in his chair. She was trying to get up underneath him. He was real floppy. He was awake but blue. (V2) said, 'Let's get him out of the chair.' (R1) had the (mechanical lift sling) under him so a bunch of staff lowered him to the floor. (V2) said to get suction. Once (R1) was on the ground, (V5) ran and got suction and we rolled (R1) on his side. The suction plug was too far away from where he was lying, so I ran to get an extension cord. When I came back, they already had the suction working and (V2) was suctioning (R1). (V2) said, 'Come on (R1), let's get it out. It looked like they were suctioning wet cracker out of his mouth. They kept checking a pulse and said that he still had one. EMT

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11/11/22), I was sitting at the other feeder table. No one was observing (R1) directly. My back was towards (R1). (R1) sits at the assisted dining table because he is a choke risk. (V4) yelled, 'I

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STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X2) MULTIP A. BUILDING	LE CONSTRUCTION	0	X3) DATE SURVEY COMPLETED	
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NAMEOF	PROVIDER OR SUPPLIER	STREET A	DDRESS CITY	STATE, ZIP CODE		11/16/2022
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(X4) ID PREFIX TAG	SPRING SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)		ID PREFIX TAG	ID PROVIDER'S PLAN OF CORRECTI PREFIX (EACH CORRECTIVE ACTION SHOULD		BE COMPLETE
S9999	Continued From pa	ge 14	S9999	83		
40	through the doors.	and then they took (R1) At this time, V9 verified no t R1's table when R1 was	51 48 20	3.00 95 94 00 8 0	2) 4: 5:	
	11/11/22), I was fee me at the other tabl with (R1) and (R1) I the assisted dining problems swallowin and he uses (adapti 'I think he's choking behind him. (V7) too him out of the main purple. No staff was supposed to be at the she left. There was and (R3). That's the them. I know that (R	28 PM, V13 stated, (On ding (R6). (R1's) back was to e. No staff was at the table had his food tray. (R1) sits at table because he has g. His liquids are thickened, ive cups). (V4) started yelling, .' There was a CNA (V7) ok (R1) to (V5) and (V5) took dining room. (R1's) face was at (R1's) table. (V11) was nat table. I didn't see when an empty chair between (R1) chair for us to use to feed R1) eats fast and grabs large h his hands and puts it in his	: S			
=	11/11/22), I was in (I get me help. (V11) o not be left alone with thickened liquids, he he was choking on with (R1) to watch h	6 PM, V12 stated, (On R4's) room. I asked (V5) to same to help me. (R1) should a food. (R1) is on nectare has a hard time swallowing, the thin liquids. We have to sit im and make sure he's ok. eed himself and sometimes	- 22		- 	
- PM	11/11/22), I was star nurse's station. (V5 a nurse's desk. He wa attempting the Heim months pregnant so	3 PM, V6 (LPN) stated, "(On ading behind (V2) at the and V7) brought (R1) to the s cyanotic. (V2) was lich Maneuver. I'm nine I was no help with lifting. the floor and was suctioning				

Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: B. WING IL6008783 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET **APERION CARE SPRING VALLEY** SPRING VALLEY, IL 61362 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL PRÉFIX PREFIX (EACH CORRECTIVE ACTION SHOULD BE REGULATORY OR LSC IDENTIFYING INFORMATION) TAG CROSS-REFERENCED TO THE APPROPRIATE TAG DEFICIENCY) S9999 Continued From page 15 S9999 food out of his mouth. The (catheter tube) clogged so I ran to get water to help clear the tubing." V6 continued to say that R1 sat at the assisted dining table because (R1) "got impulsive with eating and would not take appropriate size bites." V6 stated, "(R1) should not have been left alone with his food tray. No feeder should be left alone with a food tray. It's not a good idea, it's unsafe." On 11/14/22 at 10:09 AM, V7 (CNA) stated, "(On 11/11/22), I was in the dining room feeding (R7 and R8). (V4) got my attention that (R1) was choking. I could see that (R1's) face had turned blue. I yelled for another CNA to get the nurse. (V5) then took (R1) from me and they were trying to suction the food out of his mouth, but they couldn't. We (V7 and R1) were sitting with our backs to each other in the main dining room at the time of the incident. (R1) was not in my direct line of vision. No one was at the table with (R1). Another CNA (V11) was originally sitting with R1. She did not say anything to me that she was getting up from the table with (R1). (R1) needs assistance with feeding and has thickened liquids. (R1) has difficulty handling silverware but can feed himself finger foods. (R1) should not have been left unsupervised with his tray. I did not attempt Heimlich Maneuver, I wanted to wait for the supervisors and get him out of the dining room. (V2 and V5) immediately took over. Once he was on the floor, I helped hold his head while they were suctioning him. I couldn't see anything in his mouth directly. I think this could have been prevented if staff was with (R1) while he was eating, or we could have at least got to him quicker. (R1) looked like he had been choking for a while before anyone noticed. Had I known the staff was leaving (R1), I would have sat with him."

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Illinois Department of Public Health STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** A. BUILDING: COMPLETED C IL6008783 B. WING 11/16/2022 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1300 NORTH GREENWOOD STREET APERION CARE SPRING VALLEY SPRING VALLEY, IL 61362 (X4) ID SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (X5) COMPLETE **PREFIX** (EACH CORRECTIVE ACTION SHOULD BE REGULATORY OR LSC IDENTIFYING INFORMATION) TAG CROSS-REFERENCED TO THE APPROPRIATE TAG DATE DEFICIENCY) S9999 Continued From page 16 S9999 On 11/15/22 at 4:13 PM, V3 (R1's Physician) verified that R1 has chronic dysphagia and requires assistance with eating. R1's Death Certificate, signed and dated 11/14/22 by V19 (Medical Examiner/Coroner) documents R1's date of death as 11/11/22. The cause of death is documented as "Choking" and "Food Aspiration." This same certificate states, "Describe how injury occurred: (R1) was seated at the dining table when he put a whole biscuit into his mouth and then started choking on it. (R1) was transported to the hospital and soon pronounced dead." The manner of death is documented as "Accidental."