

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>IL6004089</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>C</b> <b>07/25/2022</b>
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NAME OF PROVIDER OR SUPPLIER  <b>HAVANA HEALTH CARE CENTER</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>609 NORTH HARPHAM STREET HAVANA, IL 62644</b>
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
S 000	Initial Comments  Original Complaint Investigation #2225691/IL149241- 300.661 cited.  Facility Reported Incident of July 11, 2022/IL149271	S 000		
S9999	Final Observations  Statement of Licensure Violations:  300.661  Section 300.661 Health Care Worker Background Check  A facility shall comply with the Health Care Worker Background Check Act and the Health Care Worker Background Check Code.  This requirement is not met as evidence by:  Based on interview and record review, the facility failed to ensure newly hired non-licensed staff initiated and completed the fingerprint-based background check within 10 days of hire. This failure has the potential to affect all 40 residents residing in the facility.  Findings include:  The Health Care Worker Background Check Act, Section 10, effective 1/1/06, states "This Act also applies to all employees of licensed or certified long-term care facilities who have or may have contact with residents or access to the living quarters or the financial, medical, or personal records of residents."	S9999	Attachment A Statement of Licensure Violations	

Illinois Department of Public Health LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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NAME OF PROVIDER OR SUPPLIER  <b>HAVANA HEALTH CARE CENTER</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>609 NORTH HARPHAM STREET HAVANA, IL 62844</b>
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S9999	<p>Continued From page 1</p> <p>The Health Care Worker Background Check Act, Section 33 (Fingerprint-based criminal history records check) effective 8/20/21, states "(e) When initiating a background check requested by (State Agency), an educational entity, health care employer, workforce intermediary, or organization that provides pro bono legal services shall electronically submit to the (State Agency) the student's, applicant's, or employee's social security number, demographics, disclosure, and authorization information in a format prescribed by the (State Agency) within 2 working days after the authorization is secured. The student, applicant, or employee shall have his or her fingerprints collected electronically and transmitted to the Illinois State Police within 10 working days. The educational entity, health care employer, workforce intermediary, or organization that provides pro bono legal services shall transmit all necessary information and fees to the livescan vendor and Illinois State Police within 10 working days after receipt of the authorization. This information and the results of the criminal history record checks shall be maintained by the Department of (State Agency's) Health Care Worker Registry. (g) As long as the employee or trainee has had a fingerprint-based criminal history record check required by this Act and stays active on the Health Care Worker Registry, no further criminal history record checks are required, as the Illinois State Police shall notify the (State Agency) of any additional convictions associated with the fingerprints previously submitted. Health care employers shall check the Health Care Worker Registry before hiring an employee to determine that the individual has had a fingerprint-based record check required by this Act and has no disqualifying convictions or has been granted a waiver pursuant to Section 40 of this Act. If the individual has not had such a</p>	S9999		
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S9999	<p>Continued From page 2</p> <p>background check or is not active on the Health Care Worker Registry, then the health care employer shall initiate a fingerprint-based record check requested by the (State Agency) on the Health Care Worker Registry, that individual is prohibited from being hired to work as a certified nursing assistant if, since the individual's most recent completion of a competency test, there has been a period of 24 consecutive months during which the individual has not provided nursing or nursing-related services for pay. If the individual can provide proof of having retained his or her certification by not having a 24-consecutive-month break in service for pay, he or she may be hired as a certified nursing assistant and that employment information shall be entered into the Health Care Worker Registry."</p> <p>The Facility's Abuse policy dated 11/28/16, (Pre-Employment Screening of Potential Employees), states "The facility will not willingly employ any staff convicted of any of the crimes listed in the Illinois Healthcare Worker Background Check Act (unless waived under the provision of the Act), or with findings of abuse listed on the (State Agency) Health Care Worker Registry. Under the Health Care Worker Background Check Act (225 ILCS 46/1) and facility 'Criminal Background Check Policy,' we are required to request a fingerprint based criminal history records check for all non-licensed employees."</p> <p>V14's Employee Data Sheet (undated), documents V14 (Unit Aide) was hired by the facility with a start date of 5/19/22. V14's personnel file does not include the State Agency Health Care Worker Registry Background Check, or evidence that a fingerprint-based record check (Criminal History) was initiated or obtained as of</p>	S9999		

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S9999	<p>Continued From page 3 7/25/22.</p> <p>V11's Employee Data Sheet (undated), documents V11 (Cook) was hired by the facility with a start date of 6/30/22. V11's personnel file does not include the State Agency Health Care Worker Registry Background Check, or evidence that a fingerprint-based record check (Criminal History) was initiated or obtained as of 7/25/22.</p> <p>On 7/25/22 at 2:11 p.m., V1 stated the V1 did not ensure that V11 and V14 initiated their fingerprint-based record checks within 10 days of hire. V1 stated "I talked to both (V11 and V14) today and neither of them have been to (the approved vendor to submit the fingerprint background checks). I dropped the ball and didn't follow up with them to make sure they had completed their fingerprint application. Neither (V11 and V14), have been employed by a long-term care facility in the past and did not come up when I searched for them on the Health Care Worker Registry. When that happens and they are non-licensed staff, then they have to go get fingerprinted to be put into that system and make sure they don't have disqualifying criminal convictions. I haven't been able to find (the facility's) 'Criminal Background Check' policy referred to in the Abuse policy."</p> <p>The Facility's Daily Roster form dated 7/24/22, documents there are 40 residents residing in the facility.</p> <p>(C)</p>	S9999		