

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IL6008049	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED C 09/23/2021
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NAME OF PROVIDER OR SUPPLIER ROCK RIVER HEALTH CARE	STREET ADDRESS, CITY, STATE, ZIP CODE 707 WEST RIVERSIDE BOULEVARD ROCKFORD, IL 61103
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
S 000	Initial Comments	S 000		
S9999	<p>Complaint Investigation #2116932/IL 138388</p> <p>Final Observations</p> <p>Statement of Licensure Violations:</p> <p>300.686a)8) 300.686e) 300.686f)1) 300.686f)2)</p> <p>Section 300.686 Unnecessary, Psychotropic, and Antipsychotic Medications</p> <p>a) For the purposes of this Section, the following definitions shall apply:</p> <p>8) "Informed consent" - documented, written permission for specific medications, given freely, without coercion or deceit, by a capable resident, or by a resident's surrogate decision maker, after the resident, or the resident's surrogate decision maker, has been fully informed of, and had an opportunity to consider, the nature of the medications, the likely benefits and most common risks to the resident of receiving the medications, any other likely and most common consequences of receiving or not receiving the medications, and possible alternatives to the proposed medications.</p> <p>e) Except in the case of an emergency, psychotropic medication shall not be administered without the informed consent of the resident or the resident's surrogate decision maker. (Section 2-106.1(b) of the Act) Additional informed consent is required for reductions in dosage level or deletion of a specific medication,</p>	S9999	<p style="text-align: center;">Attachment A Statement of Licensure Violations</p>	

Illinois Department of Public Health LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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S9999	<p>Continued From page 1</p> <p>pursuant to subsection (f)(9). Informed consent is required for a medication administration program of sequentially increased doses or a combination of medications to establish the lowest effective dose that will achieve the desired therapeutic outcome, pursuant to subsection (f) (9).</p> <p>f) Protocol for Securing Informed Consent for Psychotropic Medication</p> <p>1) Except in the case of an emergency as described in subsection (e), no resident shall be administered psychotropic medication prior to a discussion between the resident or the resident's surrogate decision maker, or both, and the resident's physician or a physician the resident was referred to, a registered pharmacist who is not a dispensing pharmacist for the facility where the resident lives, or a licensed nurse about the most common possible risks and benefits of a recommended medication and the use of standardized consent forms designated by the Department. (Section 2-106.1(b) of the Act)</p> <p>2) Prior to initiating any detailed discussion designed to secure informed consent, a licensed health care professional shall inform the resident or the resident's surrogate decision maker that the resident's physician has prescribed a psychotropic medication for the resident, and that informed consent is required from the resident or the resident's surrogate decision maker before the resident may be given the medication.</p> <p>This requirement is not evidenced by:</p> <p>Based on Interview and Record Review the facility failed to obtain consent for the use of psychotropic medication for 1 of 3 residents (R1)</p>	S9999		
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S9999	<p>Continued From page 2</p> <p>reviewed for psychotropic medication in the sample of three.</p> <p>The findings include:</p> <p>R1's MAR (Medication Administration Record) for July 2021 and August 2021 showed he was taking Seroquel 50 mg by mouth daily and at bedtime. R1's MAR for September 2021 showed his Seroquel was increased on 9/3/21 to 75 mg daily and at bedtime.</p> <p>A review of R1's medical records at the facility from his admission on 7/13/21 through his transfer to the hospital on 9/17/21 showed he did not have a consent for Seroquel.</p> <p>The MDS (Minimum Data Set) dated 7/20/21 for R1 showed cognitive impairment.</p> <p>On 9/22/21 at 11:08 AM, V4 (R1's son/emergency contact/healthcare surrogate) stated, "R1 should never have been on psychotropic medication. I wanted R3's psychotropic medication stopped; he doesn't need them. I also told the hospital to stop them."</p> <p>The facility's Admission Record dated 9/23/21 for R1 showed V4 was listed as R1's emergency contact and healthcare surrogate.</p> <p>On 9/23/21 at 9:15 AM, V1 (Administrator) stated the facility normally gets a consent for psychotropic medications. V1 stated when R1 first arrived at the facility he was alert but he wasn't alert and oriented all of the time. V1 stated that normally the facility will scan consents into the electronic medical record but there was a folder with consents and he would have to look to see if it is in there. V1 stated R1 had a surrogate</p>	S9999		
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S9999	<p>Continued From page 3</p> <p>but not a power of attorney. V1 stated the surrogate form was not valid for the facility.</p> <p>On 9/23/21 at 9:40 AM, V7 (Social Services Director) stated a consent is done for all psychotropic medications to let the resident know they have the right to refuse the medication.</p> <p>On 9/23/21 at 9:45 AM, V1 stated there wasn't a consent for R1's Seroquel.</p> <p>On 9/23/21 at 2:10 PM, V9 RN (Registered Nurse) stated consents have to be obtained for all psychotropic medications and that the medications cannot be administered to the resident without one. V9 stated if a resident is confused and the facility needed a consent for the medication then the power of attorney, guardian or healthcare surrogate would be called.</p> <p>(C)</p>	S9999		
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